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1 2 3	Erik R. Puknys (CAB #190926; erik.puknys@finnegan.com) FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. 3300 Hillview Avenue				
4	Palo Alto, CA 94304 Telephone: (650) 849-6600 Facsimile: (650) 849-6666				
5 6	Attorneys for Defendant SYNGENTA CROP PROTECTION, INC.				
7 8	UNITED STATES	DISTRICT COURT			
9	NORTHERN DISTRICT OF CALIFORNIA				
10	(SAN FRANCI	SCO DIVISION)			
11	VALENT U.S.A. CORPORATION and	CASE NO. 08-cv-0720 VRW			
12	SUMITOMO CHEMICAL CO., LTD.,	DECLARATION OF ROBERT			
13	Plaintiffs,	DURAND IN SUPPORT OF SYNGENTA'S REPLY IN SUPPORT			
14	v.	OF ITS MOTION TO DISMISS PLAINTIFFS' COMPLAINT			
15	SYNGENTA CROP PROTECTION, INC.,	Hearing Date: June 26, 2008			
16	Defendant.	Hearing Time: 2:30 p.m. Courtroom 6, 17th floor			
17					
18	I, Robert Durand, hereby declare as follow	vs:			
19	1. I am employed by Syngenta Crop I	Protection AG in the position of Head of Third-			
20	Party Relations, Global Marketing.				
21	2. I make this declaration in support of	of the reply of defendant Syngenta Crop Protection,			
22	Inc. in support of its motion to dismiss plaintiffs' complaint.				
23	3. I have reviewed the Declaration of	Motoharu Moriya dated June 12, 2008, which was			
24	filed by plaintiffs in this case. I participated in the license discussions described by Mr. Moriya in				
25	his declaration.				
26	4. Beginning in the fall of 2006, Sum	itomo approached Syngenta to request a license			
27	under U.S. Patent No. 7,105,469 ("the '469 patent	t"), which relates to the use of the insecticide			
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clothianidin on transgenic crops. To my knowledge, Syngenta had never raised any issue with Sumitomo concerning the '469 patent before Sumitomo approached Syngenta and initiated license discussions. Nor did I, at that time or since, discuss with Sumitomo or accuse Sumitomo of any infringement of the patent or discuss with Sumitomo the validity of the patent.

- 5. Syngenta informed Sumitomo that it was willing to grant Sumitomo a license under the '469 patent and at no time (whenever Sumitomo brought up the patent) did Syngenta state that it was unwilling to do so. Syngenta explained, however, that it already had granted a co-exclusive license to Bayer CropScience under the '469 patent and, therefore, Bayer's consent would be required before Syngenta could grant a license to Sumitomo under the '469 patent. During several subsequent meetings between the parties and in related correspondence, Sumitomo repeatedly acknowledged that consent from Bayer was required before Syngenta could grant Sumitomo a license under the '469 patent. Prior to bringing this lawsuit, Sumitomo never questioned the existence of a co-exclusive license agreement between Syngenta and Bayer involving the '469 patent.
- 6. I understand that Sumitomo now questions the existence of the Syngenta-Bayer license agreement relating to the '469 patent and the fact that the license is co-exclusive. The agreement contains a confidentiality provision that prohibits Syngenta from disclosing the agreement's contents to third parties. I can confirm, however, that the license agreement (entitled "Patent License") exists and was executed on April 5, 2006, by Syngenta Crop Protection AG, Syngenta Crop Protection, Inc., and Bayer CropScience AG. I can also confirm that the license is co-exclusive and does not permit Syngenta to grant further licenses under the '469 patent to third parties without Bayer's consent, as I advised Sumitomo some time ago.
- 7. On April 2, 2007, Syngenta, at Sumitomo's request, contacted Bayer to inquire whether Bayer would consent to Syngenta granting a license to Sumitomo under the '469 patent. (A true and correct copy of this letter is attached to this declaration as Durand Exhibit 1.) On April 23, 2007, Sumitomo informed Syngenta that it was "confident that based on [Sumitomo's] relationship

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with Bayer with respect to Clothianidin that such confirmation [of consent] will be forthcoming" (A true and correct copy of this letter is attached to this declaration as Durand Exhibit 2.)

- 8. Mr. Moriya states that "Bayer has never proposed any terms or conditions for such a license." (Moriya Decl. ¶ 20.) During the several meetings I attended with Sumitomo representatives, however, Sumitomo never stated that Sumitomo itself had proposed any specific terms to Bayer to obtain Bayer's consent for Sumitomo to negotiate a license from Syngenta under the '469 patent.
- 9. Paragraph 11 of the Moriya declaration asserts that Sumitomo field tested clothianidin on certain genetically engineered crops from 2005-08; that it spent "several million dollars" on unspecified clothianidin field testing; that it filed trademark applications relating to its proposed clothianidin products; that it contracted with Helena Industries, Inc. "to formulate" various unidentified clothianidin products; and that it "met with more than ten" unidentified "prospective" U.S. customers." I was unaware of any of those alleged facts before Sumitomo initiated this litigation. With respect to Sumitomo's unidentified "prospective" customers, I note that Mr. Moriya does not state that even one of those unidentified "prospective" customers has actually agreed to purchase Sumitomo's clothianidin products for use by growers on transgenic crops. Currently, Bayer sells clothianidin insecticide products; Syngenta does not. During the several meetings I attended with Sumitomo representatives, Sumitomo never identified any customers who had agreed to switch from Bayer's established and successful clothianidin products to Sumitomo's proposed clothianidin products (which apparently are still being formulated). Sumitomo also never informed Syngenta of the fact, now alleged by Mr. Moriya, that Sumitomo purportedly "lost" a prospective customer due to that customer's uncertainty about Sumitomo's freedom to operate in view of the '469 patent.
- 10. Paragraph 19 of the Moriya declaration asserts that Sumitomo "never received a response" to the license terms proposed in its April 23, 2007, letter to Syngenta. The April 23, 2007, letter referred generally to aspects of a possible license, but did not include specific terms as to consideration for the license. That statement is also incorrect in asserting that Syngenta did not

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respond. On May 7, 2007, Jonathan Sullivan of Syngenta sent an email to Nobuyuki Shinkai of Sumitomo indicating that I would respond orally to Sumitomo's April 23rd proposal and provide an update on discussions with Bayer at the parties' next meeting scheduled for May 22, 2007 in Japan. (A true and correct copy of this email is attached to this declaration as Durand Exhibit 3.) Both Mr. Moriya and I were copied on Mr. Sullivan's email. (*See* Durand Ex. 3.)

- 11. The Moriya declaration omits any mention of the parties' May 22, 2007, meeting and also fails to acknowledge Sumitomo's follow-up correspondence dated May 30, 2007, which stated: "Syngenta confirmed that it is willing to grant a license to Sumitomo under the '469 Patent on mutually acceptable terms and conditions Both parties agreed there is a need for Syngenta to obtain Bayer's consent before Syngenta granting the license to Sumitomo." (A true and correct copy of this letter is attached to this declaration as Durand Exhibit 4.)
- 12. Mr. Moriya's declaration also is incorrect in its statement, in paragraph 21, that "Syngenta expressly threatened a patent infringement lawsuit against SCC on June 12, 2007." I do not recall the precise words of the exchange that Mr. Moriya purports to recount, but I do recall that in the context of the license discussions between the parties, Mr. Moriya posed a hypothetical question to the effect that if Sumitomo infringed Syngenta's patent, would Syngenta enforce its patent, and I responded that Sumitomo should expect Syngenta to enforce its patents. But I had no knowledge at that time of any actual or imminent infringement by Sumitomo (or anyone else) of the '469 patent, nor did Mr. Moriya state that Sumitomo had actually infringed the patent. While I was not in a position to preclude any possibility of future enforcement of the '469 patent by Syngenta against Sumitomo, I do not think Mr. Moriya could fairly come away from that brief exchange, prompted by Sumitomo and in an overall context in which Sumitomo was seeking a license and Syngenta was indicating its willingness to grant one, with a sincere belief that Sumitomo was being threatened with an infringement lawsuit. Our correspondence around the time of that meeting makes no reference to any threat of suit, but refers on the contrary to Syngenta's willingness to license the patent, Sumitomo's understanding that it needed Bayer's consent, and Sumitomo's progress in seeking Bayer's consent. If, notwithstanding, Mr. Moriya honestly did feel that Sumitomo was

threatened with an infringement suit by a remark I made on June 12, 2007, he misunderstood and is mistaken.

- 13. Any decision by Syngenta to bring a patent infringement action in the future against Sumitomo would have to be made by the company's upper management. To my knowledge, at the time Sumitomo filed its complaint in this Court, Syngenta's position remained that it was willing to license Sumitomo under the '469 patent upon consent by Bayer, and that was the position I consistently took with Sumitomo during our discussions. It is my understanding that Syngenta's position is the same today, and that Syngenta remains willing to license Sumitomo.
- 14. Paragraph 23 of the Moriya declaration quotes a single sentence from my July 31, 2007, correspondence, out of context, and mischaracterizes it as a "threat of a patent infringement lawsuit." My letter actually stated, in relevant part: "We noted that Sumitomo (through its affiliate Valent) will enter the foliar market with clothianidin in September 2007. Syngenta is confident that clothianidin for foliar applications will not be used on/sold for use on transgenic crops." (A true and correct copy of this letter is attached to this declaration as Durand Exhibit 5.) My letter was not a "threat" but a statement of fact that Syngenta expected that Sumitomo/Valent's entry into the clothianidin market in September 2007 would involve sales of clothianidin for foliar (leaf) applications on non-transgenic crops. To my knowledge, that is exactly what happened: As reflected in a Valent press release dated May 8, 2008, Valent initially began marketing clothianidin under the tradename Clutch® for use on pears and apples (both non-transgenic fruit crops), then it subsequently also began selling clothianidin for use on grapes (another non-transgenic crop). (A true and correct copy of this press release is attached to this declaration as Durand Exhibit 6.)
- 15. Paragraph 24 of the Moriya declaration refers to an August 3, 2007, meeting between Sumitomo and Bayer. Mr. Moriya states that "[d]uring the meeting SCC understood that the message from Bayer was that Bayer did not want SCC to enter the seed treatment business." Mr. Moriya's negative assessment of that meeting is directly contradicted by an email that Mr. Shinkai of Sumitomo sent to me (and copied to Mr. Moriya) on August 3, 2007—the same day the meeting in question occurred. (A true and correct copy of this email is attached to this declaration as Durand

- Exhibit 7.) In that email, Mr. Shinkai reported: "Today [August 3, 2007], I had a discussion with Bayer in Monheim. The progress is slow but I believe that it is making progress. The meeting becomes more serious We have started the discussion for the conditions of obtaining Bayer's consent to proceed with the 469 license." I do not understand how Mr. Moriya can now assert that Sumitomo concluded at the August 3rd meeting that Bayer "did not want SCC to enter the seed treatment business" when that very same day Sumitomo reported to Syngenta "progress" and "more serious" discussions with Bayer on the subject of a license under the '469 patent.
- between Syngenta and Sumitomo, "Syngenta told SCC that it did not want to see any new entrant in the U.S. seed treatment business." That assertion is incorrect, as I never made any such statement, and I was the only Syngenta representative present at that meeting. I note that Sumitomo's follow-up email correspondence to me dated August 22, 2007, did not refer to any such alleged statement, but instead began, "First of all, we thank you for taking your time to meet [with] us on August 11th immediately after your arrival to Tokyo" and then indicated that Sumitomo's "first priority" with regard to the '469 patent was "to still settle on a business manner consistent with our existing good business relationships" (A true and correct copy of this email is attached to this declaration as Durand Exhibit 8.) Mr. Moriya does not explain why, if I allegedly told Sumitomo that Syngenta did not want any new entrant in the U.S. seed treatment business, Sumitomo continued to negotiate for a license under the '469 patent.
- 17. Paragraph 28 of the Moriya declaration asserts that "it is SCC's understanding that Syngenta never attended a meeting with SCC and Bayer to discuss the '469 patent." To my knowledge, Sumitomo never attempted to arrange a three-way meeting between Syngenta, Bayer and Sumitomo to discuss a possible license for Sumitomo under the '469 patent.
- 18. Finally, I understand that Sumitomo is now asserting that the '469 patent is invalid. I do not recall Sumitomo ever asserting during any of the parties' several meetings or in any written correspondence that the '469 patent is invalid.

I hereby declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed on June 19, 2008.

Robert Durand

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Filed 06/19/2008

Page 2 of 3

MANUAL FILING NOTIFICATION

CASE NO. 08-cv-0720 RS

Case 3:08-cv-00720-VRW

MANUAL FILING NOTIFICATION

REGARDING: EXHIBIT 1 TO THE DECLARATION OF ROBERT DURAND	IN
SUPPORT OF SYNGENTA'S REPLY IN SUPPORT OF ITS MOTION TO DISMISS	
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17	Other (description):				
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19) []				
20	Dated: June 19, 2008	FINNEGAN, H	ENDERSON, FARABOW,		
21		GARREII &	DUNNER, L.L.P.		
22		Ву:	/s/		
23		Erik R. Pukr	nys		

Case 3:08-cv-00720-VRW Document 84-3 Filed 06/19/2008 Page 1 of 3

Exhibit 2

MANUAL FILING NOTIFICATION

CASE NO. 08-cv-0720 RS

MANUAL FILING NOTIFICATION

REGARDING: EXHIBIT 2 TO THE DECLARATION OF ROBERT DURAND IN SUPPORT OF SYNGENTA'S REPLY IN SUPPORT OF ITS MOTION TO DISMISS PLAINTIFFS' COMPLAINT.

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Dated: June 19, 2008	FINNEGAN GARRETI	N, HENDERSON, FARABOW, T & DUNNER, L.L.P.
	By:	/s/
	Erik R. I	Puknys

Document 84-4

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Page 2 of 3

Case 3:08-cv-00720-VRW

MANUAL FILING NOTIFICATION

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	By:	/s/
	Enils D. Darlance	

Erik R. Puknys

MANUAL FILING NOTICE CASE NO. 08-cv-0720 RS

Exhibit 4

Document 84-5

Filed 06/19/2008

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Case 3:08-cv-00720-VRW

MANUAL FILING NOTIFICATION

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Dated: June 19, 2008	FINNEGAI GARRET	N, HENDERSON, F Γ & DUNNER, L.L.	ARABOW, P.
	By:	/s/	
	Erik R.	Puknvs	

MANUAL FILING NOTIFICATION

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Dated: June 19, 2008	FINNEGAN GARRET	N, HENDERSON, F Γ & DUNNER, L.L.	ARABOW, P.
	By:	/s/	
	Erik R.	Puknys	





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Valent U.S.A. Corporation News

5/8/2008 Valent Adds Grape Registration to Clutch® Insecticide Label

Improved formulation and packaging benefits grape and pear growers

WALNUT CREEK, Calif. (May 8, 2008)—Valent U.S.A. Corporation has received Environmental Protection Agency (EPA) approval for Clutch® 50 WDG Insecticide for foliar application in grapes. The new grape registration is an expansion of the current *Clutch* label, which includes pears and apples. *Clutch* is registered in most states, including California. Registration is pending in New York.

New for grapes, new overall formulation

Since the acquisition of *Clutch*, Valent has developed an improved formulation of the third generation neonicotinoid for more consistent protection against pests such as leafhopper, vine and grape mealybug, glassy-winged sharpshooter, grapeleaf skeletonizer and pear psylla.

Clutch gives growers maximum flexibility and value through its efficacy, short seven-day pre harvest interval (PHI), and improved, recyclable packaging. More registrations are pending, including uses in many vegetables and soil uses in grapes. "When growers fight pests such as pear psylla or mealybugs in grapes, they need protection they know will work quickly and reliably in-season," said Len Welch, field market development specialist for Valent. "Research shows Clutch outperforms other neonicotinoids on tough pests. This registration represents a new active ingredient, clothianidin, for use in grapes."

Clutch's enhanced consistency is the result of the improved formulation, which provides growers a more uniform particle size of the material for fast uptake in plants. This improved formulation also reinforces *Clutch*'s lower water solubility compared to other neonicotinoids. *Clutch* is rainfast within three hours of application, for protection that lasts.

Clutch should be applied post-bloom (after petal fall in pears when bee hives have been removed) or in-season in grapes. Clutch has local translaminar and systemic movement following a foliar spray. Trial results show translaminar movement within 30 minutes after a Clutch application.

Welch said all these benefits combined demonstrate the overall value *Clutch* will bring growers and helped earn the product an "excellent" rating in the Washington State University 2007 Crop Protection Guide for pear psylla control.

"Experts agree *Clutch* will give growers the results they need, when they need them," Welch said. "As we say at Valent, it's a true *Clutch* hitter."

Clutch is one of the many quality products from Valent U.S.A. Corporation, including plant growth regulators, herbicides, fungicides, insecticides and a nematicide. Valent's product line includes leading brands such as Belay™ Insecticide, Chateau® Herbicide, Danitol® Insecticide, DiPel® Insecticide, DiTera® Nematicide, Esteem® Insect Growth Regulator (IGR), Knack® IGR, MaxCel® Plant Growth Regulator (PGR), Presidio™ Fungicide, Prestige® PGR, ProGibb® PGR, Promalin® PGR, ProVide® PGR, ReTain® PGR, Seize™

IGR, Select Max® Herbicide with Inside Technology™, Venom® Insecticide, XenTari® Biological Insecticide and Zeal® Miticide.

For more information about *Clutch* Insecticide, Valent U.S.A. Corporation or Valent's full product line, call 800-6-VALENT (682-5368), or visit the Valent Web site at www.valent.com.

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Other News	•••
5/8/2008	Valent Adds Grape Registration to Clutch® Insecticide Label
4/29/2008	Cobra® Herbicide PHI Reduced in Peanuts
4/16/2008	Evan Carlisle Joins Valent Sales Team for Oregon, Washington
4/15/2008	Valor Registered for Dry Bean Desiccation
3/20/2008	Valent's Select Max® Herbicide Registered for Volunteer Corn Control Prior to Replanting Corn
3/13/2008	Zeal® Miticide EPA Registered in Hops, Cherries and Melons
3/13/2008	Monsanto's Roundup Rewards® Program to Include Select Max® Herbicide in 2008
2/27/2008	Valent Appoints Mouser and Inouye to New Crop Specialist Roles
2/8/2008	Presidio™ Fungicide EPA Registered in Vegetables and Grapes
1/31/2008	Valent USA and Sumitomo Chemical File Complaints at ITC and in Courts Against Syngenta AG and Subsidiaries in a Dispute over Insecticide Patents
1/16/2008	CHATEAU® HERBICIDE APPROVED FOR CHEMIGATION ON POTATOES
1/7/2008	VALENT SELLS ORTHENE INSECTICIDE PRODUCT LINE
12/18/2007	VALENT INTRODUCES BELAY™ INSECTICIDE FOR POTATOES
11/15/2007	Monsanto and Valent Offer Growers Residual Soybean Solutions in 2008
11/15/2007	Monsanto and Valent Offer Growers Residual Soybean Solutions in 2008
8/1/2007	Valent Names New Director of Finance
7/30/2007	Valent to Market Arena® Insecticide in Professional Turf Market
7/15/2007	Arysta & Valent Announce New Clothianidin Agreement
7/5/2007	Danitol® 2.4 EC Spray Registered for New Crops in California
6/25/2007	Select Max® Herbicide Registered For Pulse, Other Crops
6/7/2007	Valent Expands Turf & Ornamental Leadership Team with Strategic New Hire
4/13/2007	Domark® Fungicide Receives Expanded (Section 3) Registration
3/29/2007	Venom® Insecticide Now Registered for Vegetables
3/22/2007	Monsanto's Roundup Rewards® Program Expands to Include Mid-South, Southeast Select Max® Herbicide Users
3/21/2007	MAXCEL® PLANT GROWTH REGULATOR APPROVED FOR USE ON PEARS IN U.S.
2/15/2007	Andrew Seitz Joins Valent Marketing Team
2/12/2007	Chateau® Herbicide Now Registered for California Bearing Stone Fruit, Pome Fruit and Strawberries
2/5/2007	Valor® XLT Soybean Herbicide: New Weapon in Fight against Tough Weeds
1/31/2007	Cibus and The National Grain Sorghum Producers Foundation Announce Alliance With Valent to develop Herbicide Tolerant Grain Sorghum

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Filed 06/19/2008

Page 2 of 3

Case 3:08-cv-00720-VRW

MANUAL FILING NOTIFICATION

REGARDING: EXHIBIT 7 TO THE DECLARATION OF ROBERT DURAND IN SUPPORT OF SYNGENTA'S REPLY IN SUPPORT OF ITS MOTION TO DISMISS PLAINTIFFS' COMPLAINT

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20	Dated: June 19, 2008 FINNEGAN, HENDERSON, FARABOW,				
21	GARRETT & DUNNER, L.L.P.				
22	By:/s/				
23	Erik R. Puknys				

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Document 84-9

Filed 06/19/2008

Page 2 of 3

Case 3:08-cv-00720-VRW

MANUAL FILING NOTIFICATION

REGARDING: EXHIBIT 8 TO THE DECLARATION OF ROBERT DURAND IN SUPPORT OF SYNGENTA'S REPLY IN SUPPORT OF ITS MOTION TO DISMISS PLAINTIFFS' COMPLAINT.

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Other (description):		
Dated: June 19, 2008	FINNEGAN, HENDER GARRETT & DUNN	RSON, FARABOW, ER, L.L.P.
	Ry	/s/
	Erila D. Dadama	

Erik R. Puknys